Date of Approval: 05/30/2024
Questionnaire Number: 1144

Basic Information/Executive Summary

What is the name of your project (system, database, pilot, product, survey, social media site, etc.)?

Business Master File (BMF) Document Specific

Business Unit

Information Technology

Preparer

For Official Use Only

Subject Matter Expert

For Official Use Only

Program Manager

For Official Use Only

Designated Executive Representative

For Official Use Only

Executive Sponsor

For Official Use Only

Executive Summary: Provide a clear and concise description of your project and how it will allow the IRS to achieve its mission.

BMF Document Specific (BDOCSPEC) is made up of subprograms that are called by the various runs that make up the Generalized Mainline Framework (GMF) system. The programs are called based upon the tax class and doc code of the record that is currently being processed. Production processing is in two computing centers, and in the event of a disaster in the computing centers, the other computing center can perform as the disaster recovery system and handling 100% of the workload. The BDOCS subprograms process/validate business tax return information for various tax returns. Each individual return has its own unique processing criteria. The returns are handled one at a time with the applicable data for each return, but BDOCS does not store or maintain the data. There are over 40 forms related to this application, in which we have listed many of them.

Personally Identifiable Information (PII)

Will this project use, collect, receive, display, store, maintain, or disseminate any type of Sensitive but Unclassified (SBU), Personally Identifiable Information (PII), or Federal Tax Information (FTI)?

Yes

Please explain in detail how this project uses sensitive data from inception to destruction (data lifecycle).

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Please select all types of Sensitive but Unclassified data (SBU)/Personally Identifiable Information (PII)/Federal Tax Information (FTI) that this project uses.

Address
Document Locator Number (DLN)
Employer Identification Number
Name
Telephone Numbers
Vehicle Identification Number (VIN)

Cite the authority for collecting SBU/PII/FTI (including SSN if relevant).

PII for federal tax administration - generally IRC Sections 6001 6011 or 6012

Product Information (Questions)

1.1 Is this PCLIA a result of the Inflation Reduction Act (IRA)?

No

1.3 What type of project is this (system, project, application, database, pilot/proof of concept, power platform/visualization tool)?

Project

1.35 Is there a data dictionary for this system?

No

1.36 Explain in detail how PII and SBU data flow into, through and out of this system.

The BDOCS subprograms process/validate business tax return information for various tax returns. Each individual return has its own unique processing criteria. The returns are handled one at a time with the applicable data for each return, but BDOCS does not store or maintain the data. The BMF Document Specific (BDOCSpec) project is a part of the GMF pipeline processing system. BDOCSpec programs verify the information on various business and individual tax returns along with some internal forms. The project maintains over 380 GMF subprograms and subroutines as well as 8 common shared subroutines which include the zip code validation and foreign address validation programs that are used by electronic filing, pipeline processing, and Integrated Data Retrieval System.

1.4 Is this a new system?

No

1.5 Is there a Privacy and Civil Liberties Impact Assessment (PCLIA) for this system?

Yes

1.6 What is the PCLIA number?

6191

1.8 If the system is on the As-Built-Architecture, what is the ABA ID of the system? If this PCLIA covers multiple applications shown on the ABA, please indicate the ABA ID for each application covered separated by a comma.

210128

1.9 What OneSDLC State is the system in (Allocation, Readiness, Execution)?

Execution

1.95 If this system has a parent system, what is the PCLIA Number of the parent system?

Not Applicable

2.1 If this system discloses any PII to any third party outside the IRS, does the system have a process in place to account for such disclosures in compliance with IRC 6103(p)(3)(A) or Subsection c of the Privacy Act? Contact Disclosure to determine if an accounting is required. Enter "Yes" or "No". If Exempt, type "Exempt".

No

2.2 Please provide the full name of and acronym of the governance board or Executive Steering Committee (ESC) this system reports to.

Submission Processing Domain Governance Board (SPGB)

3.1 Does your project/system involve any use of artificial intelligence (AI), including virtual assistant, chat bot, and robotic process automation, as defined in Executive Order 13960?

No, our project/system does not involve any use of artificial intelligence (AI), including virtual assistant, chat bot, and robotic process automation, as defined in Executive Order 13960.

3.3 Does this system use cloud computing?

Yes

3.6 Does this system interact with the public through a web interface?

No, this system does not interact with the public through a web interface or any other type of interface.

3.7 Describe the business process allowing an individual to access or correct their information.

This is not allowed in this project.

4.1 Who owns and operates the system (IRS Owned and Operated, IRS Owned and Contractor Operated, Contractor Owned and Operated)?

IRS owned and operated.

4.5 Identify the roles and their access level to the PII data. For contractors, indicate whether their background investigation is complete or not.

IRS users and managers have read and write access.

4.51 How many records in the system are attributable to IRS Employees? Enter “Under 50,000”, “50,000 to 100,000”, “More than 100,000” or “Not Applicable”.

Under 50,000

4.52 How many records in the system are attributable to contractors? Enter “Under 5,000”, “5,000 to 10,000”, “More than 10,000” or “Not Applicable”.

Not Applicable

4.53 How many records in the system are attributable to members of the public? Enter “Under 5,000”, “5,000 to 10,000”, “More than 10,000” or “Not Applicable”.

Not Applicable

4.54 If records are attributable to a category not mentioned above in 4.51 through 4.53, please identify the category and the number of corresponding records to the nearest 10,000. If none, enter "Not Applicable".

Not Applicable

4.6 How is access to SBU/PII determined and by whom?

Employees with a business need request access via the Online 5081. The system utilizes the standard IRS online access application to document approvals for access. Data access is granted on a need-to-know basis. A potential user must submit a request for access to their local management for approval. Users are not permitted access without a signed form from an authorized management official. Specific permissions (Read, Write, Modify, Delete, and/or Print) are defined on the form and set (activated) by the System Administrator prior to the user being allowed access. User privileges and user roles determine the types of data that each user has access to. Management monitors system access and removes permissions when individuals no longer require access. Users are assigned to specific modules of the application and specific roles within the modules and accounts follow the principle of least privilege which provide them the least amount of access to PII/SBU data that is required to perform their business function after receiving appropriate approval.

5.1 Please describe any privacy risks, civil liberties and/or security risks identified for the system that need to be resolved and what is the mitigation plan?

Not Applicable

5.11 Is there a Risk Assessment Form and Tool (RAFT) associated with this system on file with your organization or the IRS Risk Office.

No, there is no Risk Assessment Form and Tool (RAFT) associated with this system on file with our organization or the IRS Risk Office.

5.2 Does this system use or plan to use SBU data in a non-production environment?

No, this system does not use or plan to use SBU data in a non-production environment.

Interfaces

Interface Type

IRS Systems, file, or database

Agency Name

GENERALIZED MAINLINE FRAMEWORK (GMF)

Incoming/Outgoing

Both

Transfer Method

Application to Application (A2A)

Records Retention

What is the Record Schedule System?

Non-Record

What type of Records is this for?

Electronic

Please provide a brief description of the chosen GRS or RCS item.

Records are not retained in BDOCS.