Basic Information/Executive Summary

What is the name of your project (system, database, pilot, product, survey, social media site, etc.)?

> Railroad Administration Passport

Acronym:

> RRAPP

Business Unit

> Treasury

Preparer

> # For Official Use Only

Subject Matter Expert

> # For Official Use Only

Program Manager

> # For Official Use Only

Designated Executive Representative

> # For Official Use Only

Executive Sponsor

> # For Official Use Only

Executive Summary: Provide a clear and concise description of your project and how it will allow the IRS to achieve its mission.

> Railroad Administration and Passport (RRA PP) is a Small-Other system/application sponsored by The Large Business and International Individual Compliance Branch. This system/application initially went into production in 1998 and provides the following functionality: â€¢ Assist in identifying Non-filers holding passports in the United States â€¢ Creating the initial Document Type 15 record for the Information Returns Masterfile â€¢ Establishing data for the use of other processes involving Foreign returns â€¢ Providing a report listing the various Countries for the Foreign Returns processed The results of the validation process are written to various files depending on the validity of the TIN's (Taxpayer Identification Numbers) and birthdates. Due process is provided pursuant to 26 USC. PII incoming and outgoing data is a secured production data file on the IBM Mainframe computer. Only administrators and production

programs have access to the data. Production programs must be approved by management and must have specific production environment access.

Personally Identifiable Information (PII)

Will this project use, collect, receive, display, store, maintain, or disseminate any type of Sensitive but Unclassified (SBU), Personally Identifiable Information (PII), or Federal Tax Information (FTI)?
> Yes

Please explain in detail how this project uses sensitive data from inception to destruction (data lifecycle).
> Social Security Numbers (SSN's) are permissible from Internal Revenue Code (IRC) 6109, which requires individual taxpayers to include their SSNs on their income tax returns. Railroad Administration and Passport requires the use of SSN's because no other identifier can be used to uniquely identify a taxpayer at this time. This is a file-to-file application. A file is read as input, processed by a program, and a new file is created as output. Both input and output files are secured in a mainframe production environment. Both files have a one-year expiration date and will be deleted at that time.

Please select all types of Sensitive but Unclassified data (SBU)/Personally Identifiable Information (PII)/Federal Tax Information (FTI) that this project uses.
> Address
> Name
> Social Security Number (including masked or last four digits)

Cite the authority for collecting SBU/PII/FTI (including SSN if relevant).
> PII for federal tax administration - generally IRC Sections 6001 6011 or 6012

Product Information (Questions)

1 Is this PCLIA a result of a specific initiative or a process improvement?
> No

2 What type of project is this (system, project, application, database, pilot/proof of concept/prototype, power platform/visualization tool)?
> Application

3 What Tier designation has been applied to your system? (Number)
> 1

4 Is this a new system?

No

4.1 Is there a previous Privacy and Civil Liberties Impact Assessment (PCLIA) for this project?

Yes

4.11 What is the previous PCLIA number?

7430

4.12 What is the previous PCLIA title (system name)?

Railroad Administration Passport

4.2 You have indicated this is not a new system; explain what has or will change and why. (Expiring PCLIA, changes to the PII or use of the PII, etc.)

No changes

5 Is this system considered a child system/application to another (parent) system?

No

6 Indicate what OneSDLC State is the system in (Allocation, Readiness, Execution) or indicate if you go through Information Technology's (IT) Technical Insertion Process and what stage you have progressed to.

Execution

7 Is this a change resulting from the OneSDLC process?

No

8 Please provide the full name and acronym of the governance board or Executive Steering Committee (ESC) this system reports to.

Application Development Governance Board

9 If the system is on the As-Built-Architecture (ABA), what is the ABA ID number of the system? If this PCLIA covers multiple applications shown on the ABA, please indicate the ABA ID number(s) for each application covered separated by a comma. If the system is not in the ABA, then contact the ABA (https://ea.web.irs.gov/aba/index.html) for assistance.

210898

10 If this system discloses any PII to any third party outside the IRS, does the system have a process in place to account for such disclosures in compliance with IRC 6103(p)(3)(A) or Subsection c of the Privacy Act?

Yes

10.1 You have indicated that you do not have an "accounting of disclosures" process is in place; please indicate a projected completion date or explain the steps taken to develop your accounting of disclosures process. Note: The Office of Disclosure should be contacted to develop this system's accounting of disclosures process.

    Data not provided to 3rd party

11 Does your project/system involve any use of artificial intelligence (AI), including virtual assistant, chat bot, and robotic process automation, as defined in Executive Order 13960 and 14110?

    No

12 Does this system use cloud computing?

    No

13 Does this system/application interact with the public?

    No

14 Describe the business process allowing an individual to access or correct their information. (Due Process)

    No user access. This is not a system with interfaces. File-to-file processing on Computing Center IBM Mainframe. This application uses a secured production file for input, processes the data, and creates a secured output file. Data usage is pursuant to 5 USC.

15 Is this system owned and/or operated by a contractor?

    No

16 Identify what role(s) the IRS and/or the contractor(s) performs; indicate what access level (to this system's PII data) each role is entitled to. (Include details about completion status and level of access of the contractor's background investigation was approved for.)

    File-to-file processing. No contractors are involved. There are no users. System Administrators are the only ones who have read/write access. Developers may request and be granted only read access to input files for a limited (hours/days) time.

17 The Privacy Act of 1974 (5 USC § 552a(e)(3)) requires each agency that maintains a system of records, to inform each individual requested to supply information about himself or herself. Please provide the Privacy Act Statement presented by your system or indicate a Privacy Act Statement is not used and individuals are not given the opportunity to consent to the collection of their PII.

    Information Returns Processing (IRP) for tax Administration. A Privacy Act Statement is not used as it is implicit with the use of tax data for tax processing. This is not a system which request additional information from an individual.

18 How many records in the system are attributable to IRS Employees? Enter "Under 50,000", "50,000 to 100,000", "More than 100,000" or "Not Applicable".
    Not Applicable

19 How many records in the system are attributable to contractors? Enter "Under 5,000", "5,000 to 10,000", "More than 10,000" or "Not Applicable".
    Not Applicable

20 How many records in the system are attributable to members of the public? Enter "Under 100,000", "100,000 to 1,000,000", "More than 1,000,000" or "Not applicable".
    100,000 to 1,000,000

21 Identify any "other" records categories not attributable to the categories listed above; identify the category and the number of corresponding records, to the nearest 10,000; if no other categories exist, enter "Not Applicable".
    Not Applicable

22 How is access to SBU/PII determined and by whom?
    Access is requested through the Business Entitlement Access Request System (BEARS) entitlements process. All access must be approved by the user's manager who reviews the BEARS request at the time of submission and on an annual timeframe. The system administrators/approvers will also verify group membership to ensure only the appropriate rights are granted based upon need-to-know.

23 Is there a data dictionary on file for this system? Note: Selecting "Yes" indicates an upload to the Attachment Section is required.
    No

24 Explain any privacy and civil liberties risks related to privacy controls.
    There are no risks to privacy and civil liberties as it pertains to privacy controls.

25 Please upload all privacy risk finding documents identified for the system (Audit trail, RAFT, POA&M, Breach Plan, etc.); click "yes" to confirm upload(s) are complete.
    No

26 Describe this system's audit trail in detail. Provide supporting documents.
    Resource Access Control Facility (RACF) report for all violations (no access allowed, attempts to delete or write to the files).

27 Does this system use or plan to use SBU data in a non-production environment?
    No

Interfaces

Interface Type
> IRS Systems, file, or database

Agency Name
> IRP

Incoming/Outgoing
> Outgoing (Sending)

Transfer Method
> Application to Application (A2A)

Interface Type
> Other Federal Agencies

Agency Name
> Department of State

Incoming/Outgoing
> Incoming (Receiving)

Transfer Method
> Electronic File Transfer Utility (EFTU)

Systems of Records Notices (SORNs)

SORN Number & Name
> IRS 22.061 - Information Return Master File

Describe the IRS use and relevance of this SORN.
> Records are added to the Individual Return Master File for processing.

Records Retention

What is the Record Schedule System?
> Record Control Schedule (RCS)

What is the retention series title?
> RCS19 Item 67-Information Returns Processing Validation and Perfection System (IRP VAL)

What is the GRS/RCS Item Number?
> RCS 19 Item 67

What type of Records is this for?
> Electronic

Please provide a brief description of the chosen GRS or RCS item.

> Information Returns Processing Validation and Perfection System (IRP VAL). The Information Returns Processing Validation and Perfection System (IRP VAL) processes information returns received on magnetic tape, electronically and on paper.

What is the disposition schedule?

> RCS 19 Item 67-Information Returns Processing Validation and Perfection System (IRP VAL). (A) Inputs: Inputs to the Information Returns Processing Validation and Perfection System (IRP VAL) include automated data transfers from the Automated Magnetic Media Processing System (AMMPS), the Generalized Mainline Framework (GMF), the Department of State (Passport documents), W2 input processing (W2s), and from the Social Security Administration (1099SS) -Delete/Destroy cache files 6 months after successful entry and verification into t (B) System Data: The Information Returns Processing Validation and Perfection System (IRP VAL) maintains information returns, passport information for matching purposes and Taxpayer Identification Number (TIN) validation documents for perfection-Delete/Destroy 5 years after cutoff. (C) Outputs: The Information Returns Processing Validation and Perfection System (IRP VAL) electronically transmits validated records to the Information Returns Master File Processing (IRMF) for posting. Invalid records are sent to Taxpayer Identification Number Perfection and certain Invalid Documents are sent to the Backup Withholding (BWH) and the Insolvency Interface Program (IIP)-Delete/Destroy 5 years after cutoff. Note: Output data transmitted to other external systems are scheduled under the appropriate Records Control Schedule for that system. (D) System Documentation: System documentation for the Information Returns Processing Validation and Perfection System (IRP VAL) consists of codebooks, records layout, User Guide, and other related materials-Delete/Destroy when superseded or 5 years after the system is terminated, whichever is sooner.

Data Locations

What type of site is this?

> Environment

What is the name of the Environment?

> MCC IBM MAINFRAME

What is the sensitivity of the Environment?

> Federal Tax Information (FTI)

Please provide a brief description of the Environment.

       Production Environment

What are the incoming connections to this Environment?

       We use InfoConnect to connect to the MCC IBM Mainframe.