Tax Year 2016 / Processing Year 2017

Instructions for Predefined Based AATS Scenarios

General Instructions

Predefined based scenarios provide specific test data within the submission narrative. Each scenario narrative has been designed to primarily test the functionality of the business rules. Additionally, answer keys have been posted that further assists the filer with completing the forms.

Each scenario must have a status of "Accepted" in order to pass the ACA Assurance Test System (AATS) successfully. The number and type of scenarios that must be completed is determined by the role(s) that were listed in your ACA TCC Application. Refer to Publication 5164 for more details.

When every scenario that you are required to complete has an "Accepted" status, contact the Help Desk for a final review and promotion of your Transmitter Control Code (TCC) to production status.

When contacting the Help Desk you should have a listing of all ReceiptIds you need reviewed, TCC and SoftwareId available. **You can contact the Help Desk at 1-866-937-4130**. The Help Desk will assign you an incident number which should be referenced for all your TY2016 AATS Review contacts.

Test Scenarios required to pass TY2016 AATS for Non-Government Entity B Forms

Scenario 1: 1-0 1094-B, **1-1** 1095-B & **1-2** 1095-B

Scenario 2: 2-0 1094-B, **2-1** 1095-B

Test Scenarios required to pass TY2016 AATS for Government Entity B Forms

Scenario 6*: 6-0 1094-B, **6-1** 1095-B - Medicaid

* A comment is required on the ACA TCC application on the software developer page in the comments section if you are a Government Entity and sponsor of Medicaid or CHIP. See Publication 5164 for additional information.

Scenario 8*: 8-0 1094-B, **8-1** 1095-B – Medicare

* A comment is required on the ACA TCC application on the software developer page in the comments section if you are a Government Entity and sponsor of Medicare. See Publication 5164 for additional information**.**

Software Developers supporting both Non-Government and Government Entities will be required to submit Scenarios 1, 2, 6 & 8.

Test Scenarios required to pass TY2016 AATS for C Forms

Scenario 3: 3-0 1094-C, **3-1** 1095-C

Scenario 4: 4-0 1094-C, **4-1** 1095-C & **4-2** 1095-C

Scenario 5**: 5-0 1094-C, **5-1** 1095-C & **5-2** 1095-C - Employer Offered Self-Insured Health Coverage

** A comment is required on the ACA TCC application on the software developer page in the comments section to exclude this scenario. See Publication 5164 for additional information.

Scenario 7: 7-0 1094-C, **7-1** 1095-C

Corrections Scenario Instructions

Predefined based corrections scenarios provide specific test data within the submission narrative that will correct a previously submitted "Accepted" original transmission. You will need to submit the Predefined Based Scenario prior to attempting to submit the corresponding Predefined Based Corrections Scenario. The "Accepted Acknowledgement" will include the necessary information needed to properly submit a correction. Correction Scenarios are not required to pass AATS for the TY2016.

Form 1095-B Correction Scenarios 2C, 6C & 8C

- The 'CorrectedInd' will be checked (value = "1").
- Populate the Corrected Unique Record Id (CURID) with the Unique Record Identifier that the IRS returned in the "Accepted Acknowledgement" for the original transmission that you now want to correct.
  The CURID will consist of the following:
  ReceiptId | SubmissionId | RecordId
- Set the '*TransmissionTypeCd*' to "C" for a corrected record.
- There must be a '*UniqueTransmissionId*' for the transmission.

Form 1094-C Correction Scenario 7C

- The 'CorrectedInd' will be checked (value = "1")
- Populate the Corrected Unique Submission Id (CUSID) with the Unique Submission Identifier that the IRS returned in the "Accepted Acknowledgement" for the original transmission that you now want to correct.
  The CUSID will consist of the following:
  ReceiptId | SubmissionId
- Set the '*TransmissionTypeCd*' to "C" for a corrected record.
- There must be a '*UniqueTransmissionId*' for the transmission.

Form 1095-C Correction Scenarios 4C & 5C

- The 'CorrrectedInd' will be checked (value = "1").
- Populate the Corrected Unique Record Id (CURID) with the Unique Record Identifier that the IRS returned in the "Accepted Acknowledgement" for the original transmission that you now want to correct.
  The CURID will consist of the following:
  ReceiptId | SubmissionId | RecordId
- Set the '*TransmissionTypeCd*' to "C" for a corrected record.
- There must be a '*UniqueTransmissionId*' for the transmission.

For more details on the Correction process review Publication 5165 Section 7.

<u>Preparing your transmission</u>

- Technical specifications for preparing your transmissions are available in Publication 5165.

- Test specifications for preparing each submission are provided in Publication 5164.

- Form instructions provide additional information on the offer of coverage codes and/or safe harbor codes that may be applicable.

- Prepare each transmission using the Tax Year 2015 1094-B/1095-B or 1094-C/1095-C Forms.

- Answer Keys are provided for Predefined AATS Test Scenarios that will match the Predefined Narratives.

- In predefined based scenarios the filer will use the specified ALE, filer, employee, responsible individual and covered individual names and the corresponding TINs. More information on the specific range of Name Controls and TINs, allowed within the AATS Environment, are listed within Publication 5164 with more information on patterns within Publication 4164.

- The four character name control range is listed within Publication 5164 will become the name control element that is submitted, within the AATS environment, for the elements '*BusinessNameControlTxt*' and '*PersonNameControlTxt*'.

- Throughout the filing season Known Issues will be updated on the ACA AATS webpage that will

include a description of any problem, solutions and status. This document should be checked periodically for updates and solutions.

- For technical assistance direct your questions to the ACA AIR Mailbox at **airmailbox@irs.gov**. When contacting the ACA AIR Mailbox, please include any ReceiptIds, your TCC and Help Desk incident number if applicable. If you have manifest questions, please include the manifest xml in your email.

Examples of Name Controls and TINs

This table listing Name Control and TIN ranges can be found within Publication 5164

EIN RANGE NAME CONTROL		Example of an ALE/Employer name	Example of an EIN	Example of 'BusinessNameControlTxt' to be included in xml
00-0000001 through 00-0000100	HELP	**Help**test Company	00-0000100	HELP
00-0000101 through 00-0000200	HIDE	**Hide**testone Company	00-0000200	HIDE
00-0000201 through 00-0000300	WORK	**Work**testwo Company	00-0000300	WORK
00-0000301 through 00-0000400	SELI	**Seli**testthree Company	00-0000400	SELI
00-0000401 through 00-0000500	GAMM	**Gamm**testfour Company	00-0000500	GAMM
00-0000501 through 00-0000600	DARR	**Darr**testfive Company	00-0000600	DARR
00-0000601 through 00-0000700	PARK	**Park**testsix Company	00-0000700	PARK
00-0000701 through 00-0000800	CARR	**Carr**testseven Company	00-0000800	CARR
00-0000801 through 00-0000900	PATT	**Patt**testeightCompany	00-0000900	PATT
00-0000901 through 00-0001000	SACR	**Sacr**otestnine Company	00-0001000	SACR
SSN RANGE NAME CONTROL		Example of an employee or covered individual name	Example of an SSN	Example of element 'PersonNameControlTxt' to be included in xml
000-00-0001 through 000-00-0100	HERR	Teresa **Herr**on	000-00-0010	HERR
000-00-0101 through 000-00-0200	MART	Kenneth **Mart**in	000-00-0120	MART
000-00-0201 through 000-00-0300	WILL	Teresa **Will**ow	000-00-0230	WILL
000-00-0301 through 000-00-0400	SOUT	Erika **Sout**hern	000-00-0340	SOUT
000-00-0401 through 000-00-0500	GAVI	Debbie **Gavi**ston	000-00-0450	GAVI
000-00-0501 through 000-00-0600	DAVI	Greg **Davi**dson	000-00-0560	DAVI
000-00-0601 through 000-00-0700	NICH	Larry **Nich**on	000-00-0670	NICH
000-00-0701 through 000-00-0800	CAME	Jamie **Came**l	000-00-0780	CAME
000-00-0801 through 000-00-0900	JONE	Arthur **Jone**s	000-00-0890	JONE
000-00-0901 through 000-00-1000	SCOT	Wanda **Scot**t	000-00-0910	SCOT